

PHLY-25,087



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jeffrey Jovan Philyaw and Douglas L. Davis  
Serial No.: 09/580,848  
Filed: May 30, 2000  
Group: 2756  
For: OPTICAL READER AND USE

Assistant Commissioner for  
Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
to Assistant Commissioner for Patents, Washington, DC 20231 on  
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(Date of Deposit)

John J. Arnott (REG. No. 39,095)  
(Name of Person Mailing Document)  
John J. Arnott  
(Signature)  
11/16/00  
(Date of Signature)

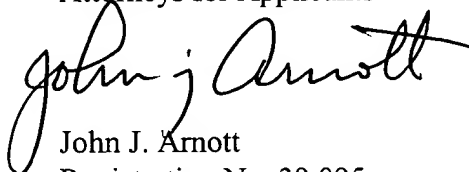
**TRANSMITTAL OF FORMAL DRAWINGS**

Transmitted herewith are 13 sheets of formal drawings to be substituted for the informal  
drawings initially filed in the above-identified application for patent.

Please charge any additional fees or deficiencies in fees or credit any overpayment to  
Deposit Account No. 20-0780/PHLY-25,087 of HOWISON, CHAUZA, HANDLEY &  
ARNOTT, L.L.P.

Respectfully submitted,

HOWISON, CHAUZA, HANDLEY & ARNOTT, L.L.P.  
Attorneys for Applicants

  
John J. Arnott  
Registration No. 39,095

JJA/aw  
P.O. Box 741715  
Dallas, Texas 75374-1715  
972/479-0462  
November 16, 2000

#4

## FORMALITIES LETTER



\*OC000000005273277\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/580,848	05/30/2000	Jeffrey Jovan Philyaw	PHLY-25,087

Gregory M Howison  
Howison Chauza Handley & Arnott LLP  
P O Box 741715  
Dallas, TX 75374-1715

Date Mailed: 07/27/2000



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$882.  
■ \$882 for 49 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is unsigned.
- The balance due by applicant is \$ 1702.

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/27/2000 GTEFFERA 00000077 09580848

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:203	441.00 OP

PHLY-25,087



PATENT

Sector #

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Jeffry Jovan PHILYAW and Douglas L. DAVIS

Serial No.: 09/580,848

Filed: May 30, 2000

Group No.: 2756

Examiner: Not Yet Assigned

For: OPTICAL READER AND USE

Assistant Commissioner for  
Patents  
Washington, D.C. 20231

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(Date of Deposit)

John J. Arnott (Reg. No. 39,895)  
(Name of Person Mailing Document)  
John J. Arnott  
(Signature)  
11/16/00  
(Date of Signature)

Dear Sir:

**SUBMISSION OF MISSING PARTS OF APPLICATION**  
**UNDER 37 C.F.R. 1.53**

Enclosed are the following items for filing in the above-referenced U.S. Patent Application:

1. Declaration and Power of Attorney, executed by the Applicants on August 8, 2000.
2. Verified Statement claiming Small Entity Status, executed on June 20, 2000.
3. Copy of Form PTO-1533 - Notice to File Missing Parts of Application.
4. Copy of the Assignment to Digital Convergence Corporation, executed on November 3, 2000 and October 25, 2000, with recordation cover sheet.
5. Request for Extension of Time - Two Months.

**SUBMISSION OF MISSING PARTS OF APPLICATION**  
Serial No.: 09/580,848

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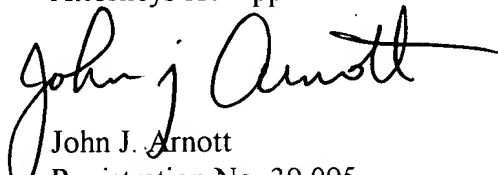
6. Check in the amount of \$1,056.00 to cover:

- the filing fee (37 C.F.R. 1.16(a) et seq.) of \$796.00;
- the surcharge set forth in 37 C.F.R. 1.16(e) of \$65.00;
- the extension fee pursuant to 1.136(a) for two (2) months extension of \$195.00; and
- the Assignment recordal fee of \$40.00.

Please charge any additional fees or deficiencies in fees or credit any overpayment to Deposit Account No. 20-0780/PHLY-25,087 of HOWISON, CHAUZA, HANDLEY & ARNOTT, L.L.P.

Respectfully submitted,

HOWISON, CHAUZA, HANDLEY & ARNOTT, L.L.P.  
Attorneys for Applicants



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